



The Institute of
Internal Auditors
Australia

The voice of the profession

INTERNAL AUDIT:
*Trusted and Valued
in Challenging Times*

SOPAC[®] 2009
BRISBANE CONVENTION
AND EXHIBITION CENTRE
22-25 MARCH

**REGISTRATION
BROCHURE**

Institute of Internal Auditors – Australia
South Pacific and Asia Conference



BRISBANE
SOPAC[®] 2009
22-25 MARCH

www.iaa.org.au

WELCOME MESSAGE

Dear Colleagues

In today's volatile business environment organisations will place a greater reliance on their internal audit function to assist them in successfully navigating through turbulent times. With the theme of *Internal Audit: Trusted and Valued in Challenging Times*, SOPAC® 2009 will provide a forum for the profession to gain insights into what challenges lie ahead and strategies to keep their organisations on track.

As the scope and responsibilities of the internal auditor widen, the conference committee have structured a program to ensure that the emerging themes are addressed and that all levels of the professional are catered for.

Some of the key themes that will be covered at the conference include sustainability, governance and the role of internal audit, strategic risk management, internal audit relationships, and the impact of information technology.

In addition to providing strategic insights, and technical and practical skills, the conference will facilitate many opportunities to network with your peers. We anticipate that over 700 will attend the conference offering delegates the opportunity to exchange ideas with colleagues from Australia and abroad. As a global association The IIA has 160,000 members across 165 countries, Australia alone has 3200 members working across all industry sectors.

We encourage you to take the opportunity to attend SOPAC® 2009 to equip yourself with the skills and knowledge to meet current demands. With broader governance issues on the agenda, we would also encourage you to invite your board and audit committee members, as well senior managers, who will find many of the sessions relevant and interesting.

Secure your place now and take the opportunity to register while the early bird discount applies.

We look forward to welcoming you to SOPAC® 2009.

Yours sincerely



Kathryn McLay
CIA MIIA(Aust)
President
IIA-Australia



Gary Anderson
CIA MIIA(Aust)
Keynote Sessions Chair
SOPAC® 2009



Cathy Blunt
CIA MIIA(Aust)
Track Sessions Chair
SOPAC® 2009

Acknowledgements

IIA-Australia would like to thank the following people for their time and support in the development of the SOPAC® 2009 program.

Gary Anderson CIA MIIA(Aust)
Immediate Past President, IIA-Australia
and Managing Director, Protiviti

Cathy Blunt CIA FIIA(Aust)
Manager Internal Audit
Griffith University

Stephen Horne FIIA(Aust)
Managing Director, IAB Services

Christopher McRostie
Chief Executive Officer, IIA-Australia

Todd Davies CIA FIIA(Aust)
Technical Director, IIA-Australia

John Harmata
Conference Production Manager
IIA-Australia

Mary Wilson CA
Director Finance and Operations
IIA-Australia

IIA-Australia would also like to thank all the members who participated in the focus group planning sessions.

SOPAC® 2009 – AN EVENT NOT TO BE MISSED!

Australia's premier internal audit event, SOPAC® 2009 will present the theme *Trusted and Valued in Challenging Times*. As the current economic climate highlights the importance of rigorous internal audit, SOPAC® 2009 brings together prominent minds in the auditing profession to share experiences and insights that are of exceptional value in challenging times.

All conference sessions and social functions will be held at the world class facilities of the Brisbane Convention Centre, just a short stroll from the Brisbane CBD.

Technical Program

The program has been structured with a broad range of sessions combining a balanced mix of high-level keynotes, technical sessions, panel forums and masterclasses delivered by international and national experts. The format provides the opportunity for all levels of the profession to take away new technical insights as well as build their professional network at the various social activities.

Exhibition

With up to thirty exhibitors at the conference, delegates have the opportunity to interact with computer software suppliers, business risk and assurance services firms, recruitment consultancies, security/data protection service providers, fraud detection organisations, publishing firms and many others who service the needs of the internal audit and risk profession.

Who will you Meet at SOPAC®?

It is anticipated that over 700 internal audit professionals from Australia, New Zealand, South Pacific and Asia will attend SOPAC® 2009. Typically, one third of delegates are CAEs, IT audit directors and audit committee members, one quarter are audit managers and the remaining delegates are audit staff,

consultants and other professionals. Industry sector representation at SOPAC® is broad, with delegates drawn from all sectors including government, professional services, financial services, communication, manufacturing and mining.

Social Functions

Take advantage of the social functions to build your network of like minded professionals, discuss the issues of the day, exchange ideas and catch up with old friends and colleagues.

Cocktail Party – Held on the first evening of the conference, the exhibition area is the venue for this year's cocktail evening.

This is an excellent opportunity for delegates, exhibitors and sponsors to mingle and enjoy the evening while viewing the extensive exhibition of SOPAC® 2009!

Internal Audit Breakfast – Get an early start to your conference day. Recharge yourself with an enjoyable hot breakfast.

Reconnect with fellow internal audit professionals at this networking opportunity. Renew your knowledge on emerging business issues by gaining insights from the guest speaker.

Gala Dinner – The conference dinner will take you back to the colourful era of the 60s with a bit of Motown and a bit of psychedelia. Feel free to dress the part! Enjoy the fine dining and spectacular entertainment featured throughout the night.

Continuing Professional Education (CPE)

IIA-Australia members are entitled to claim 19 CPE hours for attending the conference; three hours for each of the half-day masterclasses, Young IIA forum and CAE forum, and one CPE hour for the Internal Audit Breakfast. The full-day masterclass following the conference accrues seven CPE hours.

Thank You to our Conference Sponsors

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Internal Audit.

Silver sponsor

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Bronze sponsor

KEYNOTE SPEAKERS



**Erik Mather, Managing Director
Regnan – Governance Research &
Engagement**

Erik Mather is responsible for Regnan's governance research and engagement services. Regnan holds mandates from institutional investors of around A\$50 billion to constructively engage in relation to environmental, social and corporate

governance risks (ESG). From 2001 to May 2007, Erik was responsible for the BT Governance Advisory Service (BT GAS), an ESG risk management service initiated by institutional investor clients in 2001. BT GAS advised client portfolios of over A\$7 billion and this approach to governance was recognised in October 2003 via a Royal Award for Responsible Investment at the United Nations Environment Programme (UNEP) Finance Initiatives Roundtable in Tokyo. Erik is a member of the Conference of Major Super Funds Programming Committee and FINSIA Policy Advisory Committee. Erik's past memberships include the ASX Corporate Governance Council Implementation Review Group, the ASX Corporate Governance Council Principles working group and the United Nations Environment Programme (UNEP) Socially Responsible Investment sub-committee.



**Rod Winters CIA, General Auditor
Microsoft Corporation USA**

Rod Winters heads an internal audit group that is widely viewed as an innovative audit organisation and a leader in leveraging technology and employee empowerment. Prior to joining Microsoft, Rod was with Deloitte & Touche, LLP, most recently as

the Director of Marketing and Communications for the Seattle office. He was awarded The IIA's William S. Smith Certificate of Excellence for his performance on the November 1999 Certified Internal Auditor® (CIA®) exam. Rod is currently Vice Chairman of the Board of Research for The IIA and President of The IIA Research Foundation.



**Robert Dornau, Director Climate
Change Programme, SGS Group
Management**

Robert Dornau joined SGS in 2006 as Director of the Climate Change Programme. Robert has been working in the carbon market since 2000 in different positions, acquiring in-depth understanding of market and regulatory

requirements. Robert is elected member of the board of the International Emissions Trading Association (IETA) for the period 2009/10. In 2007 Robert served on the steering committee of the Voluntary Carbon Standard (VCS) as chair of the verification working group.

From 2004 to 2005, Robert was an independent carbon market consultant; clients included the Endesa Climate Initiative and the World Bank for which Robert worked as director of the first three Carbon Expos. Between 2001 and 2004, Robert was manager of the International Emissions Trading Association, IETA, working on all aspects of the emerging carbon market. Before working for IETA he worked as Project Manager for Deutsche Börse Group. He has a Master's Degree in economics from the University of Konstanz, Germany. Robert has several publications in refereed journals and has participated in numerous conferences as speaker or chair.



**Lynn Ralph, Managing Director
Cameron Ralph Pty Ltd**

Lynn Ralph spent 15 years in funds management in the USA and Australia. As Deputy Chairman of the Australian Securities Commission in the mid 1990s, she led the 'Good Advice' and the 'Simpler Managed Fund Prospectuses' projects. She was inaugural Chief Executive Officer

of the Investment and Financial Services Association, where she was integrally involved in the release of the second edition of the IFSA 'Blue Book'. Since 2002, she has been Managing Director of Cameron Ralph Pty Ltd, a firm which assists boards to improve their effectiveness. Lynn lectures and writes on governance and is a Fellow of the Australian Institute of Company Directors. With over 40 cumulative years of boardroom experience, she is currently Chairman of Babcock & Brown Direct Investment Fund, and a Director of the Sydney Swans and The Sydney Institute.



Bill Bartlett, Audit Committee Chair and Non-Executive Director

Bill Bartlett has had 35 years experience in professional accounting and was a partner of Ernst & Young in Australia for 23 years, retiring in June 2003. For a number of those years he headed up Ernst & Young's global insurance network. Bill is now a

director of Reinsurance Group of America Inc., RGA Reinsurance Company of Australia Limited, Suncorp-Metway Limited, GWA Limited and Abacus Property Trust. He has extensive experience in the actuarial, insurance and financial services sectors through membership of many industry and regulatory advisory bodies, including the Life Insurance Actuarial Standards Board since 1994. In December 2004 Bill was appointed as a consultant to the Financial Reporting Council and he holds an honorary position on the board of the Bradman Foundation and the Bradman Museum.



Andrew Dix MIIA(Aust) Executive Director, RM&A Telstra Corporation Ltd

Andrew Dix has been with Telstra for the past 15 years. In February 2004, he assumed his current role of Executive Director, Risk Management, and Assurance. In this role he leads a group of approximately 60 professionals

across Australia, New Zealand and International operations, who assist in ensuring Telstra's success through ensuring the company identifies, manages and controls its risks and by providing independent assurance on those activities. Previous roles in Telstra have been in line finance as General Manager Finance, supporting a number of Telstra's largest business units' leadership teams in the achievement of their objectives. Prior to joining Telstra, Andrew worked at Price Waterhouse where he was involved in providing accounting and advisory support to a large number of major Australian companies. Andrew is a Director of the IIA-Australia and is on the National Board.



Paul Barry, Journalist

Paul Barry is a graduate of politics, philosophy and economics at Oxford University, and is one of Australia's most respected journalists. His films for ABC TV's *Four Corners* in the 1990s won every major award in the field. He is the author of several best sellers, including *The Rise & Fall of Alan*

Bond and *The Rise and Rise of Kerry Packer*, which was shortlisted for the Banjo Awards. He has been said to "combine the highest standards of investigative journalism with admirable professional balance and a refusal to be intimidated by perceptions of power." He now writes for the Nine Network. During his time with the *Sydney Morning Herald* his exposé of tax-dodging barristers led to changes in the law and to the barring of more than a dozen legal practitioners. It won the Walkley award for Best News Report. Currently, Paul is working on an unauthorised biography of James Packer, which is due to be published in 2009.



Steven van Aperen, Director of Australian Polygraph Services and SVA Training

Steven van Aperen is known throughout Australia as an expert in the field of interviewing and detecting deception. Steven has received extensive training from the world's leading international investigative authorities in how and

why people deceive. Steven is often consulted by the media and has been affectionately named the 'Human Lie Detector'. In 1996, he was the first Victorian Police officer to graduate from Western Oregon University, USA, as a certified polygraph examiner. After graduating, Steven trained with and examined polygraph testing formats and behavioural interview techniques utilised by the Los Angeles Police Department (LAPD) Polygraph Unit, US Secret Service, Los Angeles County Sheriff's Office and the Federal Bureau of Investigation (FBI). Steve has utilised his experience in a number of high profile court cases, and consults to government and corporate organisations worldwide on behavioural interviewing and detecting deception. Steven is also the co-author of the 2006 publication *The Truth About Lies – Uncovering the Fact from Fiction*.

GUEST SPEAKERS

Internal Audit Breakfast – Presenter to be confirmed



Closing Lunch



Mark Mentha Partner, KordaMentha



Mark Mentha is one of Australia's leading specialists in business recovery and restructuring. Mark has been involved in numerous large confidential turnaround/restructuring engagements in the wine, transport, manufacturing and retail industries. In addition to his formal insolvency credentials, Mark has participated in a number of large-scale loan portfolio

realisations and managed wind-downs. Before founding KordaMentha in 2002, Mark enjoyed a successful 22-year career with Andersen which began in the Financial Consulting Division in 1979. Mark was a founding member of the Corporate Recovery and Turnaround Practice, led the Australian Consulting Practice from 1994-1997 and was a member of the board responsible for worldwide performance and strategic direction.

Patty Miller CIA, Chairman – IIA Global & Partner, Deloitte & Touche



Patty Miller is an experienced internal auditor at the Northern California Enterprise Risk Services (ERS) practice of Deloitte & Touche. She has provided a broad array of services to clients, including business and audit risk

and control evaluations, operational and information systems control evaluations and Sarbanes-Oxley services. Patty has been an active member of the IIA for over 20 years and is currently Chairman of IIA Global.



Kathryn McLay CIA MIIA (Aust) President IIA–Australia & General Manager Jupiter Leadership Office, Woolworths Ltd

Kathryn's current role is a general manager within Woolworths Limited in which she is responsible for managing a program of work and a team focussed on delivering

Woolworths growth platform. Prior to this Kathryn headed up the Business Review Team leading a team of five senior audit managers, and 60 audit staff. In this capacity she was responsible for providing assurance on value protection as well as value creation within Woolworths. Kathryn was also the Project Manager on the Woolworths / Caltex deal.

Kathryn is a national director and the current President of the IIA–Australia. In this capacity she enjoys being involved in promoting the role of internal audit, both as a key imperative in any organisation but also as an exciting career. Kathryn is also a Certified Internal Auditor.

Kathryn's auditing career commenced with Deloitte Touche Tohmatsu as a cadet in 1992 in their external audit field transferring to the internal audit field in 1994. Prior to working for Woolworths Kathryn spent three years as an internal audit manager at Qantas which included auditing operations both domestically and internationally.



Larissa Brown, Executive Director, Centre for Sustainability Leadership & 2008 Young Australian Environmentalist of the Year

Larissa Brown is the founder and Executive Director of the Centre for Sustainability Leadership and is also the cofounder of the award-winning 'Hour of Eco Power' on

youth radio. Her passion for the environment has won Larissa several awards including the 2008 Young Australian Environmentalist of the Year and the 2006 British Council award for Communicating Climate Change. She was also the recipient of the 2006 Brian Robinson Fellowship Grant. Larissa has worked as research scientist at the Australian National University and has undertaken several international study tours while working towards her goal of making sustainability a part of our culture.

PRE-CONFERENCE EVENTS

SUNDAY, 22 MARCH

12.30-4.00PM

CHIEF AUDIT EXECUTIVE LUNCHEON AND FORUM

This is an exclusive invitation-only event limited to Chief Audit Executives (CAE) that will focus on emerging issues for the internal audit profession and the critical role of the CAE. This interactive forum will provide the opportunity for you to engage with, question, and discuss various issues at a strategic level with your peers, as well as provide insight into senior executives' and directors' expectations of internal audit.

Guest Speakers

Rod Winters CIA, General Auditor, Microsoft Corporation USA

Patty Miller CIA, Chairman – IIA Global & Partner, Deloitte & Touche

Kathryn McLay CIA MIIA(Aust), President IIA-Australia & General Manager Jupiter Leadership Office, Woolworths Ltd

12.30-3.30PM

YOUNG IIA GROUP LUNCHEON AND FORUM

Sustainability and leadership will be the focus of the Young IIA Group luncheon. This is an invitation-only event and limited to delegates and members aged 35 years and under, or those with less than five years of internal audit experience. Your guest speakers will outline their experiences and insights on sustainability and leadership and how they will shape future internal audit professionals.

Guest Speakers

Patty Miller CIA, Chairman – IIA Global & Partner, Deloitte & Touche

Larissa Brown, Executive Director, Centre for Sustainability Leadership & 2008 Young Australian Environmentalist of the Year

Patty Miller will discuss how the internal audit profession can position itself as a recognised, trusted and valued leadership facilitator and how all internal auditors, the young and those more experienced, can effectively lead and guide their organisations through today's challenging times.

As the 2008 Young Australian Environmentalist of the year, Larissa Brown will share her vision on supporting Australians to make their communities, workplaces and sectors more sustainable. She will deliver an engaging and inspiring presentation on sustainability leadership covering:

- Creating social change
- Engaging young people in our world
- Young people and leadership
- Young people and the key issues of our time

Detailing her personal journey, Larissa will also share how she interviewed 100 of the world's greatest sustainability leaders across twenty countries and five continents on what it takes to achieve real systemic change towards a sustainable world.

2.00-5.00PM

REGISTRATION OPENS

Day 1 MONDAY, 23 MARCH

7.30AM	REGISTRATION
7.30-8.45AM	NETWORKING BREAKFAST AND EXHIBITION VIEWING
8.45-9.00AM	OPENING REMARKS AND WELCOME
9.00-10.00AM	KEYNOTE 1
	<p>Challenging Times – Are You Doing What’s Needed? A call to action for the internal audit profession – recent market events have revealed significant levels of risk. Internal audit is something of a secret business to shareowners who have no insight to the profession, despite its role as an early warning alert service to Boards. Have you been doing what shareholders and your Boards need, or has it been a case of just going through the motions? Key points of discussion will include: why environment, sustainability and governance (ESG) are more crucial than ever and the ESG shortfall in Australia; what institutional shareholders expect; the importance and relevance of internal audit in Australia; and ways in which shareholders need internal audit to step up. Erik Mather, Managing Director, Regnan – Governance Research & Engagement</p>
10.05-10.55AM	CONCURRENT SESSIONS 1
	<p>1A The Ins and Outs of Carbon Reporting and Auditing – Understanding Australian Requirements The when, what, who and how of Australian carbon reporting requirements. When are the key reporting and audit timelines? What data should you be collecting and auditing? Who is required to report and eligible to audit? How does the reporting and audit process work? Bridget Brill, Assistant Secretary Emissions Reporting, Analysis and Policy Branch, Department of Climate Change</p> <p>1B Managing Risk on the World Stage – Case Study: World Youth Day 2008 Overview of the World Youth Day Co-ordination Authority’s action plan in preparing for the risk contingencies of an international event; creating and testing the risk management plan; managing and ensuring quality of external providers and stakeholders; seeing it through on the day and practicalities of managing risk in a ‘live open’ environment. Roy Wakelin-King, Deputy Director General, Office of Public Works and Services (NSW)</p> <p>1C Internal Audit: A Strategic Asset Internal audit – mandate to cover value protection and value creation; the practicalities of positioning internal audit as a strategic asset for your organisation; competencies required to make a difference – influence, integrity, acumen, practicality and communication skills; building the effectiveness of internal audit by winning the hearts and minds of your audience. Kathryn McLay CIA MIIA(Aust), General Manager Jupiter Leadership Office, Woolworths Ltd</p> <p>1D Keeping Liquid in the Financial Drought Using the financial services sector as a case study, this session will discuss the credit risk landscape and key strategies to effectively manage credit risks in today’s volatile market. Key points to be covered include: counter party defaults; liquidity risks; tools and techniques in auditing credit risks. Natalie Verdouw MIIA(Aust), Head of Internal Audit, Heritage Building Society</p> <p>1E The Qantas Global Supply Chain – Getting a Flight Away Qantas snapshot; focusing on quality and managing risks (case studies); auditing for quality; critical success factors – the Qantas experience. Nicole Grantham, Group General Manager Internal Audit, Qantas</p>
10.55-11.15AM	MORNING TEA
11.15AM-12.05PM	CONCURRENT SESSIONS 2
	<p>2A Going Green – How Can Internal Audit Help Get Your Organisation Ready? Business sustainability and going green – what this means for IAG; the IAG journey, framework for action, pitfalls and lessons learnt; managing changing operations and reporting frameworks; engagement of internal audit in assuring an organisation can effectively go green. Nina McKay, Sustainability Manager, IAG Group</p> <p>2B The Compliance Sleeper – Credit Card Storage Requirements The Payment Card Industry (PCI) has been subject to misconceptions about to whom the standard applies and when to be compliant. In this session Steve Reddock will clear the air, talk through some common compliance problems, with solutions, and impacts of compliance and non-compliance. Key points of discussion include: what are the facts; importance to merchants, service providers and auditors; PCI compliance solutions; and success stories. Steve Reddock, ISS’s ANZ PCI Practice Manager, IBM</p> <p>2C Future Trends in Internal Audit Global and local trends – the evolving role of today’s internal audit function; transforming your internal audit function to increase your strategic relevance; integrated assurance models – what role should internal audit play? Rob Perry MIIA(Aust), Partner and Business Risk Services Leader, Oceania, Ernst & Young Ian Rodin MIIA(Aust), Partner, Ernst & Young</p> <p>2D Auditing in Turbulent Times – Impacts of the Credit Crisis (Double Session Part 1) Hear about the practicalities of auditing in today’s volatile operating environment. This two part session will focus on the implications for internal audit arising from the credit crisis as well as discussing wider strategic risk challenges. David Barry MIIA(Aust), Head of Internal Audit, AMP Irene Austin MIIA(Aust), Executive General Manager Internal Audit, Suncorp Metway Darren Taylor MIIA(Aust), Group General Manager Internal Audit, Amcor Limited Tom Mcleod MIIA(Aust), Group Manager, Audit and Compliance, PaperlinX</p> <p>2E Is your Organisation Getting Procurement Right? How can audit add value? Probity – help or hindrance? Uncovering roadblocks to efficiency. Warwick Smith, Director, Procure Group</p>

12.10–1.00PM	CONCURRENT SESSIONS 3
	<p>3A The Challenges of Socially Responsible Procurement in Tough Economic Conditions Defining your procurement path – balancing profits and sustainability; understanding the conflicts between costs, consumers and compliance; priorities for the future and emerging trends. Stephen Rowe, Chief Procurement Officer, Parmalat</p>
	<p>3B Revenue Loss Auditing Introduction to the revenue loss audit process; how your organisation can benefit from a revenue loss audit; identifying risks and indicators of revenue loss within your organisation; and practical advice and techniques for conducting a revenue loss audit. Greg Hall CIA MIIA(Aust), Audit Manager, Bentleys Chartered Accountants</p>
	<p>3C The New IT Culture – How to Embrace, Innovation, Risk and Control New developments in IT – what are they? How will they change the business environment? Understanding the ‘openness’ of new IT culture – the power of Google, Facebook, YouTube, Wikis and blogs; future benefits and risks; the role of internal audit in managing the new IT culture. Nick Abrahams, Partner and Sydney Office Chairman, Deacons</p>
	<p>3D Auditing in Turbulent Times – Strategic Risk Challenges (Double Session Part 2)</p> <p>3E Getting Governance, Risk and Compliance Reporting Right in an SAP Environment How to implement a preventative control environment to address system and security issues including segregation of duties and critical access; obtaining management, business and information technology support to address system issues; lessons learnt in implementing the SAP GRC system. Jeff Reeves, Director, Application Control Effectiveness, Protiviti</p>
1.00–2.15PM	LUNCH
2.15–3.15PM	KEYNOTE 2
	<p>Carbon Currency – The European Experience on Emissions Trading and Reporting Robert Dornau will provide insight on how the marketplace in Europe has adapted to a formal emissions trading market, and the impacts this has had on the audit profession. Specific matters to be addressed include: the major technical and commercial challenges in auditing greenhouse emissions; the type of systems and reporting processes that companies have introduced to support their obligations; issues and problems companies have experienced as they implement full emissions reporting and trading; the main issues encountered during third party verification that could have been prevented by internal audits. Robert Dornau, Director Climate Change Programme, SGS Group Management</p>
3.15– 3.45PM	AFTERNOON TEA
3.45–4.35PM	CONCURRENT SESSIONS 4
	<p>4A Auditing Corporate Social Responsibility Applying and auditing against relevant standards for your organisation; ensuring neutrality and transparency; developing a reporting framework to encompass the emerging carbon requirements and wider social responsibility aspects. Jennifer Westacott, National Leader Water and Environment, KPMG</p>
	<p>4B Are Organisations Moral? Are organisations truly moral and ethical? Do we understand the philosophy behind the concepts of morality and ethics and are they applicable to organisations? What does morality and ethics in business truly mean, can they really make a difference and, if yes, how? This session will help you, as an internal auditor, to look at ethics in a very different way than is usually seen within the business community. Susan Lione, CIA, CCSA, CGAP, CFSA, Vice President, Professional Practices, IIA Global Headquarters</p>
	<p>4C Developing a Practical, Flexible and Scalable Enterprise Risk Management (ERM) Framework This session will explore the challenges of developing a practical, flexible and scalable ERM framework, including: understanding objectives of ERM; getting management commitment/support for ERM; embedding ERM into an organisation’s culture and day-to-day activities; developing effective and efficient ERM policy, procedures, techniques and other tools; integrating ERM with internal audit; ensuring ERM adds value to an organisation. Phil Leifermann CIA MIIA(Aust), Director, Capstone Asia Pacific</p>
	<p>4D Economic Crime – Keeping Watch on Financial Transactions Economic crime is a billion dollar industry. This session will cover the following topics: quantifying the losses; understanding the aims of financial transaction reporting; identifying changes in reporting practices; assessing the success of financial transaction reporting; quantifying the cost of AML regulatory compliance; developing an evaluation framework. Russell Smith, Principal Criminologist, Australian Institute of Criminology</p>
	<p>4E Product Demonstration – CCH TeamMate Take this opportunity to test drive the latest technology tools from CCH TeamMate. See first hand how these tools can benefit you and your internal audit function to increase performance, drive quality, improve the manageability of the audit function, and improve client and staff satisfaction. Jon Shoebridge MIIA(Aust), Business Development Manager, CCH TeamMate Australia Matthew Oliver, Business Development Manager, CCH TeamMate Australia</p>
4.40–5.10PM	IIA CERTIFICATION BRIEFING SESSION (OPTIONAL)
	Find out how to gain the only globally recognised internal audit qualifications – CIA®, CCSA®, CGAP®, CFSA®.
5.30–7.30PM	COCKTAIL PARTY
	Take the opportunity to unwind after a full day of conferencing, and to network with your colleagues in an informal and relaxed atmosphere.

Day 2 TUESDAY, 24 MARCH

7.30AM	REGISTRATION
7.30-9.00AM	NETWORKING BREAKFAST AND EXHIBITION VIEWING
7.30-8.45AM	INTERNAL AUDIT BREAKFAST Get an early start to your conference day with this networking and information session. Reconnect with peers over breakfast while catching up on emerging business issues presented by a guest speaker.
9.00-10.00AM	KEYNOTE 3 A Global View of the Internal Audit Profession Rod Winters will share his insights on the challenges of the internal audit (IA) profession and where it is heading. Key points to be discussed include: Adapting the IA plan to challenging and volatile market conditions and risks; auditing board and executive management processes in global companies; key trends in IA and delivering a global IA program; understanding the risks and auditing with the growing trend in integrated business structures; strategies and skill for success for the road ahead. Rod Winters CIA, General Auditor, Microsoft Corporation USA
10.05-10.55AM	CONCURRENT SESSIONS 5 5A Moving from a Good to Great Audit Shop Hear the practical insights on how Hydro Tasmania has transformed its audit shop from good practice to even better practices. Key areas to be discussed include state of play after the QA review; stepping up and implementing the recommendations; insights into best practice methodologies employed; building on success – continuing on with the right processes and culture. Steve Halliday MIIA(Aust), Group Manager Assurance, Hyro Tasmania 5B Continuous Controls Monitoring – The Metcash Experience What is Continuous Auditing/Continuous Monitoring? What are practical issues involved in establishing CA? Benefits and costs; lessons learnt at Metcash. Glen Laslett CIA, CCSA, MIIA(Aust), Group Audit Manager, Metcash 5C Restrictive Competition, Pricing and the ACCC – The Role of Internal Audit Internal audit's role in anti-competitiveness cases; what does the ACCC believe internal audit should be doing; how does the ACCC expect to meet the challenge of dealing with pricing and competition? David Sutherland, Assistant Director, ACCC 5D Auditing OH&S Systems and Performance OH&S risk – where is the focus in your organisation? OH&S legal compliance – target or consequence? OH&S auditing – the right mix. Nathan Pike, General Manager Safety & Risk, Xstrata Copper 5E IT Governance – Fad, Fact or Fiction? Understanding the essential elements of IT governance; identifying the IT track record to date; obtaining business value from IT; what is critical if IT is going to make a difference into the future? Tony Hayes FIIA(Aust), Executive Director, QLD Public Service Commission
10.55-11.15AM	MORNING TEA
11.15AM-12.05PM	CONCURRENT SESSIONS 6 6A Being Risk 'Intelligent' – Finding Strategic Opportunities in Risk Considering the upside of risk – not just threat but also opportunity; understanding risk within your organisation – how it is defined, who is responsible, how it is managed and/or embraced; using a risk management framework to support strategic imperatives; the role of internal audit in supporting a risk intelligent approach. Patty Miller CIA, Chairman – IIA Global & Partner, Deloitte & Touche 6B Trusting Your Source – Getting Assurance Right Who owns assurance? Does the responsibility lie beyond internal audit? Coordinating assurance – building frameworks to manage assurance; roles of internal audit, risk management and compliance; managing compliance from within the business; delivering a quality program to validate assurance. Scott North, Head of Risk Management, UBank 6C IP Capital – Understanding the IP Value in Your Organisation Valuing intellectual property; identifying IP within your organisation; protecting IP within and outside the organisation; the role of internal audit in the process. Brendan Nugent, Principal, Griffith Hack 6D Public Sector Internal Audit Reforms – Where to Next? (Continued in 7D) This session will explore the practical impacts of recent reforms for internal audit in the public sector at Commonwealth, State and Local Government levels. Topics of discussion include review of the reforms in each sector; challenges and opportunities in implementing reform recommendations; assessing internal audit performance improvement under the reforms; lesson learned and issues raised; what's on the horizon. Stephen Horne FIIA(Aust), Managing Director, IAB Services Charles Sherlock FIIA(Aust), Chief Auditor, Department of Commerce NSW Bill Middleton CIA FIIA(Aust), Director of Audit and Risk Management, Department of Education & Training NSW Michelle Bennetts MIIA(Aust), General Manager, Audit and Assurance, Airservices Australia Sue Morrison CIA MIIA(Aust), Local Government Internal Auditor 6E e-101 – Getting Your Head Around an IT Audit Understanding the value of an IT Audit; demystifying the IT jargon; steps to simplify the IT audit process. Presenter to be confirmed

12.10–1.00PM	CONCURRENT SESSIONS 7
	<p>7A IPPF Standards – Where Will They Take the Profession? Review of the key changes; current and future implications for the internal audit profession; the practicalities of implementing the new standards; opportunity to have your questions answered in a Q & A forum. Susan Lione, CIA, CCSA, CGAP, CFSA, Vice President, Professional Practices, IIA Global Headquarters David Lawler CIA, MIIA(Aust), Member of the IIA Internal Auditing Standards Board & Audit Committee Chair and Board Member</p>
	<p>7B Auditing the Treasury Function Teaming with specialists to address the key risks and emerging market issues; reviewing the key risk areas including credit, market, interest rate, foreign exchange, covenants; evaluating the framework for effectively managing key treasury risks including governance, policies, strategies, systems, processes and skills; evaluating the performance management framework. Senior Representative from PricewaterhouseCoopers</p>
	<p>7C Accountable Auditing – Ensuring that Change Happens as a Result of your Audit Work Getting buy-in across the organisation; one master, multiple stakeholders, meeting the needs of many; actionable audit recommendations and monitoring execution; internal audit performance – feedback. Kym Grieve MIIA(Aust), Asia Pacific Cluster Leader Internal Audit , Vodafone</p>
	<p>7D Continuation of 6D</p>
	<p>7E e-Trust – Getting Your Head around IT Security The IT risk and security environment today; identifying gaps in your IT security and putting in place appropriate mitigation strategies; IT defence systems and strategies; understanding the links and securing the interconnectivity between people, process and technology. Keith Price, Principal Consultant Security Solutions, Consulting Services, Network Enterprise Services, Telstra</p>
1.00–2.15PM	LUNCH
2.15–3.15PM	KEYNOTE 4
	<p>Pushing the Boundaries – Internal Audit’s Role at Board Level A panel discussion amongst some of Australia’s leading governance and internal controls experts on whether internal audit should take an expanded role in advising Boards. Key areas of discussion will include: Is internal audit’s only role at Board level in advising the Audit Committee or does internal audit have a larger role to play? Has the time come for the internal audit community to reassess their relationship with their Board? Should there be a change? What are the implications for such a change? Where has it been successfully adopted previously? Bill Bartlett, Audit Committee Chair and Non-Executive Director Lynn Ralph, Director, Cameron Ralph Andrew Dix MIIA(Aust) Executive Director, RM&A Telstra Corporation Ltd Facilitated by Paul Barry, Journalist</p>
3.15– 3.45PM	AFTERNOON TEA
3.45–4.35PM	CONCURRENT SESSIONS 8
	<p>8A Equine Flu – What Went Wrong and Lessons Learnt Review of one of Australia’s biggest risk management incidents – the gravity of the incident, what went wrong and why; how was the situation managed and the steps put in place to prevent a re-occurrence; the role internal audit played in the situation and its need for a role in the future. The Honourable Ian Callinan AC QC</p>
	<p>8B Privacy Laws – Does your Organisation Comply? Update on key changes in privacy legislation; understanding the process, procedures and penalties in handling information and data under the privacy act; common risk areas for organisations; how to effectively apply privacy requirements within your organisation. Toby Boys, Senior Associate, Holding Redlich</p>
	<p>8C The Value of Understanding and Measuring Organisational Culture and What This Means for Internal Auditors and Risk Managers What is organisational culture & ethics? Why is culture an important ingredient in managing organisational performance and risk? How can culture be measured and tested to provide the Board and key stakeholders reasonable assurance that the ‘control’ culture is appropriate? Have you designed systems of control within your cultural context? Ann Bonney, Consultant, Management Effect</p>
	<p>8D Internal Audit – The First 100 Days Join this highly interactive presentation to consider the challenges facing junior auditors through to experienced CAEs in the first 100 days in a new role: Being the person your resumé says you are; early easy wins; building a coalition of the willing; handling the ‘I have no idea what I am doing’ moment; PLUS – lessons auditors can learn from the US Presidential transition. Tom Mcleod MIIA(Aust), Group Manager, Audit and Compliance, PaperlinX</p>
	<p>8E Using Technology to Improve Audit Committee Reporting Audit Committees need information in order to set policies and make decisions. Technology improvements mean that Audit Committees can potentially be better and quicker informed than ever before. This session gives practical advice and experience in: defining information needs and formats; technologies and delivery methods; obtaining the underlying data; case studies. Ken Ebbage, Chief Executive Officer, Pentana</p>
4.40–5.10PM	IIA CERTIFICATION BRIEFING SESSION (OPTIONAL)
	Find out how to gain the only globally recognised internal audit qualifications – CIA®, CCSA®, CGAP®,CFSA®.
7.00–11.30PM	CONFERENCE DINNER
	Get into the groove of the 60s at the conference dinner. Dress smart casual or 60s theme.

Day 3 WEDNESDAY, 25 MARCH

8.00AM	REGISTRATION
8.00-9.00AM	NETWORKING BREAKFAST AND EXHIBITION VIEWING
9.00-10.00AM	KEYNOTE 5
	<p>Truth & Deception – Linguistic Lie Detection This highly engaging and entertaining keynote session will uncover the secrets in identifying the difference between truth and deception. Using his real life experiences from the police force and high profile investigations, Steven van Aperen will demonstrate how we use verbal and non-verbal language to deceive others, and what you should look out for to detect deception. Steven will outline the psychology, linguistics and body language in the communication process and how to effectively interpret what is being communicated. Steven van Aperen, Director of Australian Polygraph Services and SVA Training</p>
10.00–10.30AM	MORNING TEA
10.30–11.20AM	CONCURRENT SESSIONS 9
	<p>9A End-to-End Process Reviews End-to-end process reviews within the context of Rio Tinto's Internal Audit Transformation Programme; how to approach an end-to-end review; value to internal audit customers. Andrea Lester, Global Practice Leader Internal Audit, Rio Tinto</p>
	<p>9B Influence and Impact – Giving Yourself a Presence The communication equation – what makes the biggest difference; communication 'faux pas' – things we do which lessen our impact; the importance of how you communicate – getting your message heard; how to improve your non-verbal communication; how to build immediate rapport to improve your influence. Mike Allan, Principal Consultant Psychologist, Assure Programs</p>
	<p>9C The Internal Audit Ambassador – Lobbying for Best Practice across the Organisation Internal audit: The ambassador protecting the interests of the organisation; understanding the organisation's internal and external operating environments; building and driving the credibility of internal control processes; selling the internal audit message by building quality relationships throughout the organisation. Claudelle von Eck, Chief Operating Officer, IIA South Africa</p>
	<p>9D Transforming an Audit Function – Best Practice and Beyond (Double Session Part 1) How innovative practices and good stakeholder relationships help audit add value and influence change without having to grow budgets; the audit plan – how and why it is changing for the future; examples of real-time assurance practices. Alastair Pearce, Chief Audit Officer, National Australia Bank Ltd</p>
	<p>9E Symbiotic Relationships – Internal Audit Working with Others to Achieve Organisational Effectiveness This session will explore the roles, expectations and relationships between the key stakeholders in the audit, risk and compliance functions within an organisation. Discussions will focus on: how each function defines their role; identifying gaps and crossovers; practicalities in forging working relationships. Liam O'Brien, Enterprise Compliance Manager, Corporate Counsel Division, QR Simon Griffiths CIA MIIA(Aust), Director Internal Audit, Main Roads (QLD) Mark Evans, A/Director Risk Management Unit, Assurance and Risk Advisory Services, Queensland Health</p>
11.25 AM–12.15PM	CONCURRENT SESSIONS 10
	<p>10A Making a Stand in the Stand – IA in the Witness Box Internal audit as an expert witness; preparing to give evidence and documentation required; understanding your legal rights and responsibilities; what to expect – experiences from the witness box. Jocelyn Newton FIIA(Aust), Director, Internal Auditor, Queensland Corrective Services Andrew Evans, Barrister at Law</p>
	<p>10B Mergers & Acquisitions – Where does IA Fit? Internal audit's role in dealing with both parties – is there a conflict of interests? Internal audit's rights and responsibilities during merger activity; audit's role – is it business as usual or getting involved with advice? Maree Patane MIIA(Aust), Chief Auditor, Tatts Group</p>
	<p>10C Understanding Cultural Differences – Auditing in Indigenous Communities Managing culture, ethics, morals, tradition and more in the audit process; dealing with interpretation across different cultures; identifying and acknowledging the differences before commencing an audit. Sally-Anne Pitt CIA, CGAP MIIA(Aust), Director Pitt Group & Manager Consulting and Quality Services IIA–Australia</p>
	<p>10D Transforming an Audit Function – Managing Talent (Double Session Part 2) In the context of a global skills shortage and increased compliance requirements and Gen Y, what should chief audit officers be doing to recruit, manage and retain talent, now and into the future? Heather Brown, Head of Strategy, Operations & People, National Australia Bank Ltd</p>
	<p>10E Improving Organisational Performance Through an Integrated Internal and External Audit Relationship The new external/internal audit relationship – what does the framework look like? What benefits has it delivered for Telstra – reduced operating cost, maximising organisational benefits and delivery of improved customer satisfaction; practicalities for internal audit in operating in such an environment. Andrew Dix MIIA(Aust), Executive Director, RM&A Telstra Corporation Ltd</p>
12.15–2.00PM	CLOSING LUNCH
	<p>Corporate Failure in Australia: Do You Really Know What's Happening in Your Organisation? Mark Mentha is one of the leading corporate recovery and insolvency practitioners in Australia. He will provide insights on some of Australia's corporate failures, and identify challenges in this market. Special emphasis will be placed on key issues that can be overlooked by auditors, management and directors. Mark Mentha, Partner, KordaMentha</p>

HALF DAY MASTERCLASSES

WEDNESDAY, 25 MARCH

2.00–5.00PM

MC OPTION 1: QA REVIEW – DOES YOUR INTERNAL AUDIT FUNCTION MEET THE STANDARD?

The concept of quality in internal auditing is gaining momentum internationally, and is championed by the IIA. Its genesis is found in Standard 1300 of *The International Standards for the Professional Practice of Internal Auditing*, which requires internal audit activities to develop and maintain a Quality Assurance and Improvement Program that covers all aspects of internal audit and continually monitors its effectiveness.

Course Overview

This course will build awareness of quality in internal auditing and provide practical tools and templates.

Learning Outcomes

- Discover how quality assessments can raise the profile of internal audit with chief executives and audit committees
- Understand the use of different tools for implementation of a Quality Assurance and Improvement Program
- Learn how to prepare for a full external quality assessment, or a self-assessment with independent validation

Topics Covered

- The Standards
- The Quality Standards
- Quality Assurance and Improvement Program
- External assessments
- Summary and final tips

Who Should Attend

This masterclass is open to IIA members only. Internal auditors preparing for a quality assessment of their internal audit activity. Internal auditors conducting a self-assessment, followed by an independent validation. Anyone wanting to know more about achieving quality in internal auditing.

Presenter



Sally-Anne Pitt CIA CGAP MIIA(Aust), Director, Pitt Group Pty Ltd & Manager Consulting and Quality Services IIA-Australia

Sally-Anne Pitt is Director of Pitt Group Pty Ltd, a consultancy established in 2003 providing internal audit, risk management and program evaluation services. Sally-Anne has a Bachelor of Applied Science, Master of Public Policy and post-graduate business studies from the Darden Business School, University of Virginia (USA). She is a member of the Australasian Institute of Risk Management and the IIA-Australia. Sally-Anne is a Certified Internal Auditor (CIA), Certified Government Auditing Professional (CGAP) and is accredited as a Quality Assessment Reviewer by the IIA-Australia.

2.00–5.00PM

MC OPTION 2: THE INTERNAL AUDIT INTERVIEW – WHAT ARE THEY NOT TELLING YOU?

The internal auditor is often faced with the prospect of conducting interviews when undertaking an investigative audit. Getting the right information from the interview process is crucial and this session will assist you in identifying those people who may have fabricated, embellished or omitted information.

Course Overview

This interactive course is designed to assist interviewers who are required to conduct probing and detailed interviews. An outline of the various dynamic and effective interviewing tools and skills that get results will be presented.

Learning Outcomes

- Understand the process of communication and how people use it to deceive interviewers
- Critically analyse statements for deception made during an interview
- Identify verbal and non-verbal cues of deception
- Apply varying questioning techniques during an interview

Topics Covered

- Understanding the methods of communication; verbal, non-verbal and paralinguistic
- Benchmarking behaviours
- Neurolinguistic programming: How we process information and how it helps interviewers
- Identifying typical truthful and deceptive behaviours, response latency, omissions and qualifying denials

Who Should Attend

Internal auditors undertaking investigate interviews and all professionals undertaking interviews in general.

Presenter



Steven van Aperen, Director of Australian Polygraph Services and SVA Training

Steven van Aperen has received extensive training from the world's leading international investigative authorities in how and why people deceive, and is one of Australia's leading experts in the field of interviewing and detecting deception. He has been involved in a number of high profile court cases and is often consulted by the media to analyse interviews. Steven is also a specialist trainer conducting programs throughout Australia, Hong Kong, Indonesia, USA, London, Singapore, Malaysia and Taipei delivering innovative, informative and dynamic workshops on behavioural interviewing and detecting deception.

FULL DAY MASTERCLASS PROGRAM

THURSDAY, 26 MARCH

9.00AM–5.00PM

UNRAVELLING THE IT MYSTERY – EXPLORING THE CHALLENGES OF IT RISKS, CONTROLS AND AUDIT

Introduction

Today, many organisations have complex IT environments, where management is responsible for ensuring that information is reliable, secure and available. In doing so, management must manage a variety of IT risks, implement effective and efficient IT controls to mitigate these risks and develop plans to audit these risks and controls.

Unfortunately, many risk managers and internal auditors are still overwhelmed by the IT mystery, and never really attempt to properly understand this critical aspect of their organisation.

This session will unravel the IT mystery, and explore the challenges of IT risks, controls and audit.

Benefits of Attending

This session will be very practical, where procedures, tools and techniques will be reinforced with international case studies. In addition, participants will be provided with a number of guidelines and templates, which they will be able to take back to and use in their organisations.

Who Should Attend

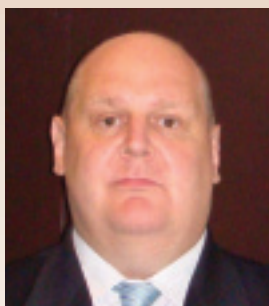
This session will be useful for everyone interested in IT risks, controls and audit, including:

- Risk management and audit committee members
- Chief risk officers
- Chief audit executives
- Risk managers
- Internal auditors
- IT auditors
- IT managers

Outline

1. Introduction
 - Defining IT, including its proliferation and evolution
2. Managing IT Risks
 - Defining IT risks, including project based risks and ongoing operational risks
 - Identify IT risks
 - Assessing IT risks based upon business / technology impact and probability
 - Using international standards, including ISO/IEC 31000 series (AS/NZS 4360)
3. Implementing IT Controls
 - Defining IT controls
 - Improving existing IT controls
 - Developing new IT controls
 - Using international standards, including COSO, COBIT, ISO/IEC 20000 series (ITIL) and ISO/IEC 27000 series (BS7799)
4. Developing Plans to Assess IT Risks / Controls
 - Defining IT audit
 - Developing risk-based audit plans
 - Developing audit programs
 - Using IIA resources, including global technology audit guide (GTAG)
5. Conclusion
 - Summarising key aspects of IT risks, controls and audit
 - Preparing action plans for addressing these aspects

Presenter



Phil Leifermann, MBA, BBus, CIA, CCSA, CFSA, CGAP, CISA, CFE, Director, Capstone Asia Pacific

Phil Leifermann has over 22 years of management assurance consulting experience.

Phil worked with PricewaterhouseCoopers for nearly 14 years, in Australia and Indonesia, where he provided risk management, internal audit and IT audit consulting services. After leaving PricewaterhouseCoopers in 2000, he started his own consulting firm, where he built a team of 10+ consultants to provide similar consulting services. After living overseas for the past 15 years, Phil is currently splitting his time between Australia and Indonesia, and has recently established a residence on the Gold Coast.

As well as completing projects in Australia and Indonesia, he has also completed projects in Brunei, China, Hong Kong, Malaysia, New Zealand, Pakistan, Philippines, Saudi Arabia, Singapore and Vietnam.

Phil's MBA is from the University of Western Australia, and his Bachelor of Business (majoring in accounting and information systems) from Southern Cross University.

HOW TO REGISTER

Complete and return the enclosed registration form along with full payment. Each delegate must complete a separate registration form. Registration forms can be returned by fax +61 2 9264 9200, email: enquiry@iia.org.au, or mailed to: PO Box A2311, Sydney South, NSW 1235 Australia

IIA-Australia welcomes ICAA, CPA-Aust and ISACA members to take advantage of the IIA-Australia members' rate for SOPAC® 2009.

Note: IIA members must be financial in March 2009 to be eligible for the member rate.

Conference Fees

All fees are quoted in **Australian dollars** and inclusive of the 10% compulsory goods and services tax (GST).

CONFERENCE FEES			
	EARLY BIRD (PAY BY 16 FEB 2009)	REGULAR (17 FEB-13 MAR 2009)	ON-SITE (14 MAR-25 MAR 2009)
IIA-AUSTRALIA MEMBER	\$2020	\$2220	\$2420
NON-MEMBER	\$2320	\$2525	\$2725
GROUP (PER DELEGATE)*	\$1840	\$2050	Not Available
CORPORATE TICKET**	\$2525	\$2525	Not Available
ONE DAY REGISTRATION***	\$1160	\$1160	\$1360
ADDITIONAL SESSIONS			
	REGISTERED DELEGATE	GUEST	
COCKTAIL PARTY	Included in conference registration fee	\$60 per person	
GALA DINNER	Included in conference registration fee	\$130 per person	
YOUNG IIA LUNCHEON AND FORUM	Included in conference registration fee (eligible delegates only)	\$70 per person	
INTERNAL AUDIT BREAKFAST	\$45 per person	\$70 per person	

* Three or more delegates from the same organisation must register and pay at the same time to be eligible for group registration.

** Corporate tickets: One registration is shared between up to 5 people from the same organisation. Includes entitlements as per one delegate only.

*** One day registration includes attendance to the technical sessions and refreshments only for the day. Social function tickets for the day must be purchased additionally.

Registration Entitlements (member, non-member, group, corporate)

- Attendance to all conference sessions and trade exhibitions held on Monday, Tuesday and Wednesday
- Networking Breakfast, refreshments and lunch daily during the conference
- One ticket to the Cocktail Function
- One ticket to the Gala Dinner
- Name badge and pocket program
- Access to conference papers online after the conference
- Attendance at the Closing Lunch
- One conference satchel sponsored by



Cancellation of Registration

Cancellations must be notified in writing to IIA-Australia. Cancellations prior to 9 March, 2009 will receive a full refund less AUD\$200.00 administration fee. No refunds will be made for cancellations after 9 March, 2009, however, a substitute delegate will be accepted at no extra charge, subject to membership status, if advised in writing. Transfer of monies to any other IIA-Australia product or service is not permitted.

Schedule and General Changes

IIA-Australia endeavours to ensure that accurate information is contained in this brochure at time of printing, however program content, speakers and information within this brochure are subject to change without notice. At times it might be necessary to cancel or reschedule a conference after receipt of the confirmation letter. The IIA-Australia will provide advance notice of such changes, and in doing so will not be responsible for penalties related to the cancelling or rescheduling of airfares, lodging, etc.

Liability/Disclaimer

To the extent permitted by law, IIA-Australia is not responsible for the actions, advice or representations of delegates and speakers of SOPAC® 2009. In completing the registration form, the delegate indemnifies IIA-Australia for any direct, indirect or consequential loss or damage in connection with any personal accidents, losses or damage to the personal property of delegates and any later substitutes. It is strongly recommended that delegates take out adequate medical, travel and personal insurance prior to commencement of the conference and travel.

More Information

Visit www.iia.org.au for more Information about SOPAC® 2009 including the following;

- Accommodation
- Session outlines
- Speaker biographies
- Other conference contacts
- Disability facilities
- About Brisbane



Job Codes

200	Chief Audit Executive
210	Director of Auditing
220	Audit Manager
230	Audit Staff
245	IT Audit Director
250	IT Audit Manager
260	IT Audit Staff
275	Audit Services Contractor
280	External Public Accountant
300	Corporate Management
310	Educator
320	Student
330	Retired
340	Audit Committee Member
350	Management Consultant
360	Other

Industry Codes

Agriculture, Forestry and Fisheries

0100	Agricultural, forestry, fisheries, production/services
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Mining

1000	Mining
1300	Oil and gas extraction

Contract Construction

1500	Construction
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Manufacturing

2000	Food/kindred products
2100	Tobacco manufacturers
2200	Textile mill products/apparel
2400	Lumber/wood products (incl. furniture/fixtures)
2600	Paper and allied products (including printing/publishing)
2800	Chemicals
2830	Drugs and research
2840	Petroleum refining and related industries
3010	Rubber and plastics products
3100	Leather stone and glass products
3300	Primary metal industries
3400	Fabricated metal products (including non-electric machinery)
3500	Industrial and commercial machinery
3510	Aerospace
3520	Computers and related devices/equipment
3600	Electrical machinery, electronic equipment and supplies
3700	Transportation equipment
3800	Scientific, photographic, medical goods
3900	Miscellaneous manufacturing industries

Transportation Communication and Utility Services

4000	Land transportation
4400	Water transportation
4500	Air transportation
4700	Other transportation services
4800	Communication services
4810	Telecommunication
4900	Electric/gas/sanitary services
4910	Gas services
4920	Gas and electric services
4930	Sanitary services

Wholesale and Retail Services

5000	Wholesale trade
5300	Retail trade
5800	Eating and drinking places

Financial, Insurance and Real Estate

6000	Banking and financial institutions
6030	Non-banking bank services (e.g. leasing)
6040	Thrift and savings and loan organisations
6100	Credit unions
6130	Other credit agencies
6200	Security and commodity services
6300	Insurance carriers, agents, services
6500	Real estate services
6700	Holding/investment companies

Services

7000	Hotels/lodging services
7200	Personal/social services
7300	Contracted audit services
7310	Management consultants
7320	Information technology services
7330	Executive placement services
7500	Repair services
7600	Gaming/lottery
7800	Motion pictures/amusement and recreational services
8000	Health services
8100	Legal services
8200	Educational services
8600	Membership organisations
8900	Public accounting/accounting/bookkeeping services
8910	Miscellaneous services

Government

9100	Federal/national government
9200	State/provincial government
9300	Local government
9400	International government

Non-classifiable

9900	Non-classifiable establishments
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For more information:

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Website: www.iia.org.au

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