



The Institute of Internal Auditors, Singapore

The Secretariat
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28 May 2007

Dear Members

1 Day Fraud Investigation and Whistle-blowing: An integral part of good governance

5 July 2007 by Tommy Seah

What you will gain from this seminar:

INTRODUCTION

The management of Economic crime investigations and prosecuting offenders is a dynamic business demanding that all personnel receive intensive and progressive training. The basis of this training centres on the principle that to be successful requires the ability to understand both the proactive and reactive methodologies. It also requires the ability to apply highly specialized investigative skills and to be comfortable with state-of-the-art technology.

Subject matter includes introduction to fraud detection, fraud prevention, fundamentals of financial statement fraud and why Auditors fail to detect fraud.

Benefits of Attending

This session does not teach you to look over another person's shoulder. The masterclass will outline lessons learned and the approach to good investigation leadership. In a nutshell, it is better to be informed on what can happen, how it can happen, when it can happen and what to do and who to call if and when it happens.

Course Outline

Masterclass Outline

- Types of frauds
- Proper guidance to conduct fraud investigation: Code of Ethics
- Whistle-blowing – a deterrence or a bane?
- Handling fraud situations
- Methods of detecting fraud
- Methods of fraud prevention
- Managing fraud – risk-associated issues
- Look out for alert triggering transactions, indicating that an irregularity has taken place
- Fraud in the following areas: Treasury, Trade Finance, Credit card, Procurement, IT procurement, IT related procurement
- Monitoring and controlling fraud
- Fraud auditing processes and procedures
- Latest tools, technologies and software involved in fraud investigations.

About the Speaker

PRESENTER: Tommy Seah

Tommy is a Certified Fraud Examiner and an Independent Third Party reviewer for compliance for a S\$25,000,000,000.00 asset management company based in Singapore. He is also a member of The Board of Regents of the Association of Certified Fraud Examiners Board based in Texas, USA. CFE is a very well recognized post-graduate professional qualification by The World Bank, The Asian Development Bank, Bank Negara, Bank Central Philippines, the USA Central Intelligence Agency in its recruitment of auditors for combating fraud and the FBI for combating Financial Crimes.

His services in providing technical training and consultancy in AML and Basel II systems implementation is much sought after by numerous banks in the region, including Singapore, Malaysia, China, Indonesia, Brunei, Thailand, Philippines and Taiwan. Tommy's previous experience includes systems-based auditing in an American International Bank, where he was the Senior Regional Auditor responsible for the Bank's audit in the Asia Pacific region. He has also held the top executive position of Chief of Internal Audit in a prime offshore bank where his audit duties cover the Singapore and Hong Kong operations of the bank. His brief covers all areas of the bank's audit of operations and IT systems.

Prior to this, he was Head of Operations of a foreign bank. Tommy Seah is the author of seven banking books including the FIG Program. The FIG is the authoritative and definitive text on Financial Instruments designed to be used in the world wide banking industry. The other six authoritative texts are The Audit Foreign Exchange in Banks, The Control of Money Market Activities in Banks, Understanding and Auditing Operational Risk Management, Financial Management for Banks, MCA for Banks and SWAPS in Investment Banking. His latest publication is *BASEL II Trading Book Issues in Risk Management*.

In addition to being a Fellow Member of two internationally recognised prestigious leading UK Accountancy bodies and an Associate of The Australian National Institute of Accountants, Tommy holds professional graduate diplomas from two Banking Institutes including the prestigious Chartered Institute of Bankers (UK).

Who Should Attend

This program is intended for internal auditors and everyone who is interested in preventing fraud as investigation managers and all those who operate a "team approach" to crime solving.

Details of the administrative arrangements are as follows:

- Date : Thursday, 5 July 2007
- Time : 9 am – 6 pm (Lunch and refreshments provided)
- Registration : 8.30 am
- Venue : **Grand Plaza Park Hotel City Hall**
Coleman Room, Level 1
10 Coleman Street
Singapore 179809
- CPE credit : 7 hours
- Fee : S\$600.00 (IIA / ISACA Member)
S\$780.00 (Non-member)
*** NO GST payable**
****NO invoice will be issued**
- Closing Date : 29 June 2007

Please return the reply slip together with your cheque made payable to "**The Institute of Internal Auditors**". **No invoice will be issued.**

Yours sincerely
Lena Kuok
for Education Committee

REPLY SLIP

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From: Mr/Mrs/Ms/Miss _____

Organisation: _____

Mailing Address: _____

Tel: _____ Fax: _____ Mobile: _____ Email: _____

Name of Attendee	Designation	IIA / ISACA Membership No. (where applicable)

Terms and Conditions:

1. Notification of withdrawal in writing received **seven working days or more before** the event will be processed for refund after deduction of \$100 processing fee.
2. No refund will be made for shorter notice but you are welcome to send a replacement.
3. Registration is only confirmed when full payment is received. An official receipt will be sent to you.
4. Payment must be received **before** commencement of the event.
5. No invoice will be issued.
6. Programme may be subject to change.
7. Request for special food arrangement must be made at time of registration.

Enclosed is cheque number _____ for S\$ _____ being payment for _____
IIA / ISACA member(s) @ ___ and _____ non-member(s) @ ___.

Participants will be awarded 7 CPE hours upon completion of the course.

[Cheque should be crossed and made payable to "The Institute of Internal Auditors Singapore"]

>>>>> Complete and Fax to : (65) 6220 5972 for Reservation <<<<<