



ACIIA CAE LEADERSHIP FORUM SYDNEY, SUNDAY, 4 MARCH 2012

INVITATION-ONLY
EVENT

The ACIIA Chief Audit Executive Leadership Forum incorporating the IIA-Australia annual Chief Audit Executives Lunch Forum is an exclusive invitation-only event limited to chief audit executives (CAE) which will focus on the emerging issues for the internal audit profession and the critical role of the CAE.

The program is designed to challenge, engage and question you on the strategic issues that are shaping our environment and provide the opportunity to discuss these issues at a strategic level with your peers as well as provide insight into the future expectations of internal audit. The forum will be structured to start with the broader strategic issues then drill down to specific governance, risk and internal audit issues.

The forum will provide you with the opportunity to hear from a range of speakers who will provide a broad perspective on the environment in which we all operate as well as provide insights on the challenges that we face in the short and long term. The program will also feature expert speakers from within the profession who will share their insights on how the internal audit function can effectively apply itself in today's rapidly changing business environment.

The ACIIA CAE Leadership Forum is sponsored by:



9.30-10.00am

REGISTRATION AND ARRIVAL MORNING TEA

10.00-10.10am

WELCOME ADDRESS

Cathy Blunt CFIIA CIA, President, IIA-Australia & Manager, Internal Audit, Griffith University

10.10am-10.40am

SESSION 1

Risk appetite – Where to for companies and Boards

How much risk to take... is the question that organisations are asking themselves as they seek to move forward in a still uncertain business environment. This session will provide a non-executive Director's perspective on the key business, financial, operational and compliance risk areas for organisations. Discussions will focus on the challenges and expectations of the internal audit and risk functions in supporting the Board and Audit Committee in managing the risk appetite.

Board/Audit Committee Representative

10.40am-11.20am

SESSION 2

The Asian century and emerging markets

The world is changing at a rapid rate and an increased awareness and understanding of opportunities in new emerging markets will be the key to success and growth in the years ahead. David Thomas will provide a snap shot of the economic trends in the Asia Pacific region and beyond. He will provide insights on what organisations should be doing to make the most of business opportunities and what to be aware of in relation to business risks in these regions. Key points of discussion will include:

- The facts and figures – emerging economic powerhouses and where Australia fits into the picture
- How and where to embrace emerging markets – the risks and opportunities
- Understanding and managing cross-cultural challenges
- Why business leaders need to engage in the Asian Century

David Thomas, Chief Executive Officer, Think Global Consulting

11.20am-12.00pm

SESSION 3

The right people = Success

The organisational culture and indeed satisfaction of staff is critical to the success of all organisations. This session will explore why the factors of motivation, performance and attitude will ultimately determine if you are hiring the right people for your business.

- What employers and employees are thinking and feeling today
- The four key people risks (vacancy, readiness, transition and portfolio)
- The cost of getting it wrong – and the benefits of getting it right
- Next generation recruitment and retention strategies
- Why measuring motivation and attitude matters
- Getting recruitment right in your organisation

Simon Moylan, Executive General Manager, Hudson Talent Management

12.00pm-1.30pm

LUNCH WITH SESSION 4

Round table discussion – The path ahead in Asia Pacific

Over lunch take the opportunity to discuss with our panel of experts the key issues that will be shaping the future of doing business in the Asia Pacific region.

Panel members include:

David Thomas, CEO of Think Global Consulting

Simon Moylan, Executive General Manager, Hudson, Talent Management

1.30pm-2.00pm

SESSION 5

Social Media risk – Reshaping the internal audit and risk focus

The rapid development of social networking and web technology has opened up new ways for business partners, companies, and customers to connect and interact. In this new world of connectedness there are many opportunities to increase efficiencies, branch into new markets and reduce costs but there also new dangers and risks.

- Understanding the inherent risks that technology can bring to organisations
- Exploring the advantages of doing business in this new domain
- Appropriate steps and strategies that CAE's can take to ensure that organisations protect themselves

Uantchern Loh, President, IIA-Singapore

2.00pm-2.30pm

SESSION 6

Governance – From the King III Report to implementation

The King III Report represents a significant milestone in the further development of corporate governance in South Africa and around the globe. This session will provide you with direct insights from a member of the King Committee on the evolution of this governance model and how it is being practically applied in the business environment. Key areas of focus will include:

- Why the need for an annual report on the impacts of the organisation in the economic, environmental and social spheres
- Review of statements required by the audit committee in the reporting process
- The critical role of the internal audit function in supporting their organisation to comply with the governance structure

Anton van Wyk CIA, Member, King Committee, Committee Chairman, Professional Certifications Board, IIA & Partner, PwC

2.30pm-3.00pm

SESSION 7

Operational risk – Internal audit assuring organisational deliverables

With the increasing impact of 'global risk events' on organisations today, the internal audit function must continually take a risk based approach in building their audit plans and control frameworks. This session will highlight the key operational risks that should be on every auditor's mind and some of the methodologies and approaches to effectively mitigate operational risk using the fast moving financial services sector as a case study.

- Risk identification and assessment – Balancing the likely low impact vs the unlikely high impact
- Why business continuity planning is essential for all organisations
- Communicating the messages of risk across organisational stakeholders

Pont Chiu, Senior Vice President, Head Internal Audit Department, Hong Kong Exchanges and Clearing Limited and President, IIA-Hong Kong

3.00pm-3.30pm

AFTERNOON TEA

3.30pm-4.30pm

SESSION 8

In-source, outsource or something in-between – Developing the contemporary internal audit model to meet your organisation's needs

To set the scene of this panel discussion, Stephen Helberg of Rio Tinto will share his organisation's experience of establishing an effective global internal audit function. The presentation will have a particular focus on the process used by Rio Tinto to engage effective sourcing arrangements to deliver audit services across a diverse organisational structure.

- Assessing the sourcing model options
- Building a brief for internal audit service requirements
- The importance of the IIA Standards in the process
- Assessing potential providers
- Managing relationships, performance and quality

Stephen Helberg MIIA(Aust), Head of Corporate Assurance, Rio Tinto

Panel members:

Andrew Dix MIIA(Aust), Executive Director, Risk Management & Assurance, Tesltra

Stephen Helberg MIIA(Aust), Head of Corporate Assurance, Rio Tinto

Rob Sweitzer CIA MIIA(Aust), General Manager, Group Assurance, The Westpac Group

Len Gainsford MIIA(Aust), Director Audit & Assurance, Department of Transport (VIC)

Pont Chiu, Senior Vice President, Head Internal Audit Department, Hong Kong Exchanges and Clearing Limited and President, IIA-Hong Kong

4.30pm-4.40pm

CLOSING REMARKS

Cathy Blunt CFIIA CIA, President, IIA-Australia & Manager, Internal Audit, Griffith University

REGISTRATION FORM

Title Prof Dr Mr Mrs Ms Miss Other

Family name

Given name

Position

Organisation

Are you an IIA member? Yes No Membership No:

Post nominal: CIA CCSA CGAP CFSA CRMA

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Please note that some special meals will incur an additional charge. You will be notified if this is the case for the food type you have indicated.

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