



The Institute of Internal Auditors, Singapore

The Secretariat
138 Robinson Road #18-08
The Corporate Office
Singapore 068906
Tel: 6324 9029
Fax: 6220 5972
Email: secretariat@iia.org.sg

9 June 2011

Dear Members

2 Day Seminar on Enterprise Risk Management: An Introduction

25 – 26 August 2011 by Mr Phil Moulton

What you will gain from this Seminar:

YOUR OPPORTUNITY TO...

- Improve your understanding of Enterprise Risk Management (ERM).
- Broaden your risk assessment perspective to cover all significant internal and external business risks.
- Benchmark, or reinvent, your risk management tools and practices.
- Understand the new COSO *ERM Framework*.
- Gain an understanding of current issues, challenges, and emerging practices regarding risk management, control, and governance processes.

Description

Practitioners of Enterprise Risk Management are quick to describe the many benefits implementing the ERM framework has brought to their organization and the audit process. But many non-practitioners simply don't know how to get started with or how to make the most of ERM. And that's what this seminar is all about.

Through lectures, case studies, group discussions, practical exercises, self-assessments, and feedback from the facilitator, participants will improve their understanding of ERM and the COSO ERM Framework, while coming up to speed with current issues, challenges, and emerging practices regarding risk management, control, and governance processes. This dynamic workshop is ideal for auditors beginning the enterprise risk management process, as well as ERM implementation team leaders and members, and auditors at all levels who are interested in or contemplating implementation of ERM.

About the Speaker

Phil Moulton

After residing in Singapore and delivering professional services, training and innovative presentations in Singapore and SE Asia over the past 7 years, Phil is well known to many members of the Institute.

Phil Moulton is a Managing Director at Protiviti, a leading global provider of Internal Audit, Risk and Business Consulting. As the leader of Protiviti's Internal Audit practice in Singapore and SE Asia, he brings strong experience and insights into internal audit, risk management and corporate governance as a result of liaising with Company Directors, Audit Committees and Senior Executives. This experience has been gained from providing outsourced internal audits, risk management projects, Quality Assessment Reviews, and IA Transformation consulting projects comprising large project teams for some of the region's largest companies and banks. His full professional profile is available on LinkedIn on the internet.

He is a Certified Internal Auditor, Accredited Quality Assessor, Certified in Control Self Assessment as well as a Chartered Accountant (Australia) and Fellow-CPA (Australia).

He has been a past governor with the Singapore Institute of Internal Auditors, and served in the Queensland (Australia) Chapter of the IIA. He has also served on the International Professional Issues Committee for IIA. He was the representative for IIA Australia for the ASX Code of Corporate Governance Best Practice Guidelines in 2002-2003.

Who Should Attend

- Auditors beginning the enterprise risk management process and seeking an understanding of the new *COSO ERM Framework*.
- Enterprise risk management implementation team leaders and members.
- Internal audit executives, members, and senior staff members with a responsibility for, or an interest in, enterprise risk management.
- Executives and managers from organizations that are contemplating enterprise risk management implementation.

Details of the administrative arrangements are as follows:

Date : 25 – 26 August 2011

Time : 9.00 am – 5.00 pm
(Lunch and refreshments provided)

Registration : 8.45 am

Venue : **M Hotel**
81 Anson Road
Singapore 079908

CPE credit : 16 hours

Fee : S\$700 (IIA / ISACA Member)
S\$910 (Non-member)
*** NO GST payable**
****NO invoice will be issued**

Closing Date : 15 August 2011

Please return the reply slip together with your cheque made payable to "**The Institute of Internal Auditors Singapore**". **No invoice will be issued.**

Yours sincerely
Lena Kuok
for Education Committee

REPLY SLIP

To: The Institute of Internal Auditors, Singapore
The Secretariat
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Singapore 068906
Tel: 6324 9029
Fax: 6220 5972

2 Day Seminar on **Enterprise Risk Management: An Introduction**

25 – 26 August 2011 by Mr Phil Moulton

From: Mr/Mrs/Ms/Miss _____

Organisation: _____

Mailing Address: _____

Tel: _____ Fax: _____ Mobile: _____ Email: _____

Name of Attendee	Designation	IIA / ISACA Membership No. (where applicable)

Terms and Conditions:

1. Notification of withdrawal in writing received **seven working days or more before** the event will be processed for refund after deduction of \$100 processing fee.
2. No refund will be made for shorter notice but you are welcome to send a replacement.
3. Registration is only confirmed when full payment is received. An official receipt will be sent to you.
4. Payment must be received **before** commencement of the event.
5. No invoice will be issued.
6. IIAS reserves the right to cancel, postpone, alter the programme and/or change the speaker due to unforeseen circumstances.
7. Request for special food arrangement must be made at time of registration.

Enclosed is cheque number _____ for S\$ _____ being payment for _____
IIA / ISACA member(s) @ S\$700 and _____ non-member(s) @ S\$910.

Participants will be awarded 16 CPE hours upon completion of the course.

[Cheque should be crossed and made payable to "The Institute of Internal Auditors Singapore"]

>>>> Complete and Fax to : (65) 6220 5972 for Reservation <<<<<

Course Outline

WHAT ERM IS AND IS NOT

- Describe the frameworks and other components associated with the background of Enterprise Risk Management (ERM).
- Identify the components of the COSO ERM Executive Summary.
- Identify what has changed in the COSO Framework.
- Identify an Enterprise Risk Management implementation strategy.
- Identify the internal auditor's role in Enterprise Risk Management (ERM).

ERM ESSENTIALS

- Identify the four essentials of ERM.

INTERNAL ENVIRONMENT

- Identify the impact the internal environment has on the enterprise risk management process.

RISK IDENTIFICATION AND ASSESSMENT

- Identify the components required when setting objectives for risk identification.
- Identify the methodologies and techniques that are most effective during risk identification and risk assessment.
- Apply the components of risk assessment and risk identification to various situations.

RISK RESPONSES

- Identify the primary types of risk responses.

RISK MONITORING

- Identify techniques and tools used for risk monitoring.