



## The Institute of Internal Auditors, Singapore

The Secretariat  
138 Robinson Road #18-08  
The Corporate Office  
Singapore 068906  
Tel: 6324 9029  
Fax: 6220 5972  
Email: [secretariat@iia.org.sg](mailto:secretariat@iia.org.sg)

7 January 2011

Dear Members

# 2 Day Workshop on Advanced IT Audit & Control

24 – 25 February 2011 by Mr Frank Yam

### PROGRAM BACKGROUND

This two-day workshop is designed to provide advanced skills and techniques for IT audit and control professionals. You will learn pro-active audit approaches and audit tools that will provide value-added services to your organization. Our multiple award-winning instructor will also share his experiences with you, using real-life examples. Finally, you will be able to apply what you have learned through a set of customized exercises and case studies. You will leave this thorough workshop with in-depth knowledge of risk-based IT governance, audit and control best practices.

### Workshop Agenda

Please refer to Appendix I attached.

### About the Speaker

**Mr. Frank Yam**, FHKIoD, FHKCS, FFA, CISA, CIA, CFE, CSP, CCP, CDP, CFSA

Mr. Frank Yam is an ISACA International Award Winning Speaker with over 20 years of IT audit and control experience. Mr. Yam is currently a Council Member and Chairperson of the Information Security Specialist Group of the Hong Kong Computer Society and Governor of the IIA Hong Kong Chapter. He also served as the International VP of ISACA International and the IT Governance Institute from 2004-2009. Mr. Yam has served in a number of international organizations, including KPMG Peat Marwick Canada and several leading international financial institutions. He is currently the Chief Executive of Focus Strategic Group Inc and Managing Director of Handshake Networking Limited.

Mr. Yam is a well-known leader in the field of IT governance and information security. He has been an adjunct professor and part-time lecturer for universities in USA, Canada, Australia, China, and Hong Kong and is a very popular speaker at seminars and conferences.

**Prerequisite:** Successful completion of "IT Audit and Control" workshop or equivalent (with intermediate IT experience).

**Learning Level:** Advanced

## **Who Should Attend**

IT Auditors; Control and Compliance Professionals; IT Management; Security Professionals; and External Auditors.

## **Details of the administrative arrangements are as follows:**

Date : 24 – 25 February 2011

Time : 9.00 am – 5.00 pm  
(Lunch and refreshments provided)

Registration : 8.45 am

Venue : TBC

CPE credit : 14 hours

Fee : S\$900 (IIA / ISACA Member)  
S\$1,170 (Non-member)  
**\*NO GST payable**  
**\*\*NO invoice will be issued**

Closing Date : 10 February 2011

Please return the reply slip together with your cheque made payable to “**The Institute of Internal Auditors Singapore**”. **No invoice will be issued.**

Yours sincerely  
Melinda Sam  
for Education Committee

# REPLY SLIP

To: The Institute of Internal Auditors, Singapore  
The Secretariat  
10 Anson Road  
#10-13A International Plaza  
Singapore 079903  
Tel: 6324 9029  
Fax: 6220 5972

2 Day Workshop on  
**Advanced IT Audit & Control**  
24 – 25 February 2011 by Mr Frank Yam

From: Mr/Mrs/Ms/Miss \_\_\_\_\_

Organisation: \_\_\_\_\_

Mailing Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Tel: \_\_\_\_\_ Fax: \_\_\_\_\_ Mobile: \_\_\_\_\_ Email: \_\_\_\_\_

| Name of Attendee | Designation | IIA / ISACA Membership No.<br>( where applicable ) |
|------------------|-------------|--|
|                  |             |  |
|                  |             |  |
|                  |             |  |
|                  |             |  |
|                  |             |  |
|                  |             |  |

**Terms and Conditions:**

1. Notification of withdrawal in writing received **seven working days or more before** the event will be processed for refund after deduction of \$100 processing fee.
2. No refund will be made for shorter notice but you are welcome to send a replacement.
3. Registration is only confirmed when full payment is received. An official receipt will be sent to you.
4. Payment must be received **before** commencement of the event.
5. No invoice will be issued.
6. Programme may be subject to change.
7. Request for special food arrangement must be made at time of registration.

Enclosed is cheque number \_\_\_\_\_ for S\$ \_\_\_\_\_ being payment for \_\_\_\_\_  
IIA / ISACA member(s) @ S\$900 and \_\_\_\_\_ non-member(s) @ S\$1,170.

**Participants will be awarded 14 CPE hours upon completion of the course.**

[ Cheque should be crossed and made payable to "The Institute of Internal Auditors Singapore" ]

**>>>> Complete and Fax to : (65) 6220 5972 for Reservation <<<<<**

## Workshop Agenda

### **1. Implementing and Auditing “Business Governance of IT”**

- Governance Frameworks and Mechanisms
- Involving the Board of Directors
- Self Assessment in the Boardroom
- Common IT Planning Risks and Issues
- IT Committee
- IT Balanced Scorecard
- Alignment of Business Goals, IT Goals, and IT Processes
- Monitoring and Evaluating IT Performance
- Common IT Management Risks and Issues

### **2. Creating Value from IT-enabled Business Investments**

- Val-IT Principles
- Understanding the Usage and Expectation of IT
- Determining the Level of Dependency on IT
- Business Case Development – An 8-Step Approach
- Maximize Value By Clearly Defining Business and IT Responsibilities

### **3. Enterprise Risk Management and IT General Controls**

- Entity-level IT Controls Assessment
- Activity-level IT Controls Assessment
- End-user Computing
  - Wide-spread Use of Spreadsheets
  - End-user Managed Computing Facilities

### **4. Continuous Monitoring and Auditing**

- Continuous Assurance / Continuous Monitoring / Continuous Auditing
- The Need for Continuous Monitoring and Auditing
- Sarbanes-Oxley Act Section 409 – Real Time Reporting
- Continuous Monitoring and Auditing Approaches and Strategies
- Issues to Consider - Subject matter, Environment, Nature of Testing Required, and Timing
- Challenges and Other Considerations

### **5. Case Studies**